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jc953 U.S. PTO

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PATENT

Docket No. 3939-4000
Express Mail Label No. EJ604719690US

IN THE UNITED STATES OF AMERICA PATENT AND TRADEMARK OFFICE

Applicant(s) : David H. Walker

Group Art Unit: TBA

Serial No : TBA

Examiner: TBA

Filed : October 19, 2000

For : APPARATUS, SYSTEM, AND METHOD FOR AN
ELECTRONIC PAYMENT SYSTEM

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s) and

Address(es): David H. Walker, 3455 Peachtree Rd. NE, 5th Floor, Atlanta, Georgia 30326

For: APPARATUS, SYSTEM, AND METHOD FOR AN ELECTRONIC PAYMENT
SYSTEM

Enclosed are:

[X] 112 page(s) of specification, 1 page(s) of Abstract, 45 page(s) of claims, 1 page(s) of title page

[X] 23 sheets of drawing [X] formal [] informal

[X] 6 page(s) of Declaration and Power of Attorney

[] Unsigned
[X] Newly Executed
[] Copy from prior application

[] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

[] Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

[] Microfiche Computer Program (Appendix)

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09/691927
10/19/00

- ☐ _____ page(s) of Sequence Listing
- ☐ computer readable disk containing Sequence Listing
- ☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☐ Claim for Priority
- ☐ Certified copy of Priority Document(s)
- ☐ English translation documents
- ☐ Information Disclosure Statement
- ☐ Copy of _____ cited references
- ☐ Copy of PTO-1449 filed in parent application serial No. _____.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☐ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment.
- ☐ Assignment papers filed in parent application Serial No. _____.
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. _____.
- ☐ Cancel in this application original claims _____ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.

☐ Transfer the drawing(s) from the patent application to this application.

☐ Amend the specification by inserting before the first line the sentence:
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. _____
_____ filed _____.

I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

| | Number Filed | | Number Extra | Rate | Basic Fee |
|---------------------------|--|------------------|--------------|----------|-----------|
| Total Claims | 268 | -20= | 248 | x\$18.00 | \$4,464 |
| Independent Claims | 68 | -3= | 65 | x\$80.00 | \$5,200 |
| Multiple Dependent Claims | | | | | |
| | <input type="checkbox"/> yes | Additional Fee = | | \$270.00 | |
| | <input checked="" type="checkbox"/> no | Add'l Fee = | | NONE | \$0 |

Total: \$ 10,374.00

- ☒ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ 5,187.00.
- ☒ A check in the amount of \$ 5,187.00 in payment of the application filing fees is attached.
- ☐ Charge Fee(s) to Deposit Account No. 13-4500. Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3939-4000. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: October 19, 2000

By: _____

Mark J. Schildkraut
Registration No. 43,092

Morgan & Finnegan, L.L.P.
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EXPRESS MAIL CERTIFICATE

Express Mail Label No. EJ604719690US

Date of Deposit October 19, 2000

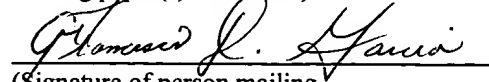
I hereby certify that the following attached paper(s) and/or fee:

1. Utility Application and Fee Transmittal (1.53(b)) totaling 3 pages (in duplicate)
2. Utility Patent Application totaling 182 pages, including:
 - 2.1. 1 title page, and
 - 2.2. 112 pages of specification,
 - 2.3. 45 pages of claims (claims 1-268),
 - 2.4. 1 page of abstract,
 - 2.5. 23 sheets of formal drawings (Figures 1-23);
3. Statement (Declaration) Claiming Small Entity Status 37 CFR §1.97(f) and §1.27(b) – Independent Inventor totaling 2 pages;
4. Combined Declaration and Power of Attorney for Original, Design, National Stage of PCT, Supplemental Divisional, Continuation or Continuation In-Part Application totaling 6 pages;
5. Information Disclosure Citation totaling 1 page;
6. Information Disclosure Statement totaling 3 pages (in duplicate);
7. Check for \$5,187.00;
8. Express Mail Certificate totaling 1 page; and
9. Return Postcard.

The above is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Francisco Garcia

(Typed or printed name of person
mailing paper(s) and/or fee)


(Signature of person mailing
paper(s) and/or fee)

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